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PATENT
Docket No.: 09163-20801

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicants : KINNEY et al.
Serial No. : 09/901,553 / Group Art Unit: 2164
Filed : July 6, 2001 Examiner: TBA
For : *SYSTEM AND METHOD FOR CREDIT AUTHORIZATION IN A
SECURITIES TRANSACTION*

**Response to Notice To File Corrected Application Papers
and Petition**

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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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Sir:

In response to the Notice To File Corrected Application Papers, mailed 9/4/2001 for the above-identified patent application, the undersigned submits herewith substitute formal drawings on 16 sheets.

In regard to pages 49 and 50 of the specification, which the U.S. Patent and Trademark Office indicates appear to have been omitted, applicant submits herewith copies of pages 49 and 50 from the application, with the required petition fee of \$130.00 under 37 CFR 1.17.

Applicant respectfully submits that pages 49 and 50 were included with the application as filed and provide as proof: 1) a copy of the certificate of mailing, attesting to the total number of pages as 53, and 2) a copy of the return receipt post card, indicating the number of pages as 53. It should be further noted that the Application Transmittal indicates that the application includes 53 pages. Applicant respectfully submits that the application is entitled to

the original filing date and that pages 49 and 50 are similarly entitled to that filing date, as evidenced by the proof submitted herewith.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 24, 2001:

Michella McKenzie
Signature
Michella McKenzie

Respectfully submitted,

MILBANK, TWEED, HADLEY & McCLOY LLP

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